



## Notice to Accredited Investors (New Clients)

### 合格投资者(新增)通知

#### Important Note 重要提示

- Pursuant to the Securities and Futures (Class of Investors) Regulations 2018, Yongan International Financial (Singapore) Pte Ltd ("YIFS") is required to issue this notice to you to advise you of certain terms and conditions pertaining to your Accredited Investor status (which becomes effective from 9<sup>th</sup> January 2019)
- Please refer to the updated statutory definition of Accredited Investor in the glossary.
- This notice is effective from the NOTICE DATE-Date of this email sent.
- Please read this notice carefully. Kindly contact us should you need any clarification.
- 根据 2018 年证券及期货(投资者类别)管理条例, Yongan International Financial (Singapore) Pte Ltd ("YIFS") 须向您发出此通知, 告知您有关您的合格投资者身份的若干条款及条件(自 2019 年 1 月 9 日起生效)
- 请参阅术语表中合格投资者的最新法定定义。
- 本通知自发送此电子邮件的通知日期起生效。
- 请仔细阅读本通知。如果您需要任何进一步说明, 请联系我们。

Customer name 客户名: \_\_\_\_\_ Account number 账号: \_\_\_\_\_

Dear Accredited Investor,

Please note the following terms and conditions of your Accredited Investor status:

1. You have been assessed to be an Accredited Investor, based on information which has been provided by you.
2. YIFS shall treat you as an Accredited Investor only when you choose to **opt-IN** as an Accredited Investor.
3. Opt-IN: You have consented to being treated as an Accredited Investor-by signing the attached Activation form.
4. Opt-OUT: Subsequent to your opt-IN as an Accredited Investor, you may, at any time notify YIFS should you wish to **opt-OUT** as an Accredited Investor-by signing the attached Activation form.
5. GENERAL WARNING

[Securities and Futures (Classes of Investors) Regulations 2018, First Schedule]

Accredited Investors are assumed to be better informed, and better able to access resources to protect their own interests, and therefore require less regulatory protection. Investors who agree to be treated as Accredited Investors therefore forgo the benefit of certain regulatory safeguards. For example, issuers of securities are exempted from issuing a full prospectus registered with the Monetary Authority of Singapore in respect of offers that are made only to accredited Investors, and intermediaries are exempted from a number of business conduct requirements when dealing with accredited investors. Investors should consult a professional advisor if they do not understand any consequence of being treated as an accredited investor.

亲爱的合格投资者,

请注意您的合格投资者身份的以下条款和条件:

1. 根据您所提供的信息, 您被评估为合格投资者。
2. 只有当您选择加入认可投资者时, YIFS 才会将您视为认可投资者。
3. 选择加入: 您已同意被视为合格投资者 - 签署随附的激活表格。
4. 选择退出: 在您加入合格投资者之后, 您可以在任何时候通知 YIFS, 如果您希望选择退出合格投资者 - 通过签署随附的激活表格。
5. 警告

[2018 年证券及期货(投资者类别)管理条例, 附表 1]

合格投资者可以更好地了解情况, 更好地获取资源以保护自己的利益, 因此需要较少的监管保护。此, 同意被视为合格投资者的投资者放弃某些监管保障的利益。例如, 证券发行人被豁免向合格投资者提供完整的新加坡金融管理局注册的招股说明书, 并且中介人在与认可投资者打交道时可免除若干商业行为要求。如果投资者不了解被视为认可投资者的任何后果, 则应咨询专业顾问。例如, 证券发行人若只向合格投资者发出要约, 可获豁免发出向新加坡金融管理局登记的完整招股章程, 而中介机构在应对合格投资者时, 亦可豁免若干商业行为规定。投资者如不明白被视为合格投资者的任何后果, 应咨询专业顾问。



## Consent Provisions 同意条款

### Opt-IN Notification 加入通知

Yongan International Financial (Singapore) Pte Ltd (“YIFS”) will commence treating you as an Accredited Investor upon receipt and acknowledgement of your Opt-IN Notification.

I/We have received, read and fully understand the contents of this notice.

I/We wish to **opt-IN** to being treated as an Accredited Investor by YIFS.

Yongan International Financial (Singapore) Pte Ltd (“YIFS”) 将在收到并确认您的选择加入通知后开始将您视为合格投资者。

我/我们已收到，阅读并完全理解本通知的内容。

我/我们希望加入并被 YIFS 视为合格投资者。

\_\_\_\_\_  
Signature 签名

Name 姓名:

Date: 日期:

\_\_\_\_\_  
Signature 签名

Name 姓名:

Date: 日期:

### Opt-OUT Notification 退出通知

Upon receipt of your Opt-OUT Notification, Yongan International Financial (Singapore) Pte Ltd (“YIFS”) will send you an acknowledgement and will stop treating you as an Accredited Investor from 30 working days from the opt-OUT acknowledgement date.

I/We have received, read and fully understand the contents of this notice.

I/We wish to **opt-OUT** to being treated as an Accredited Investor by YIFS.

收到您的选择退出通知后，Yongan International Financial (Singapore) Pte Ltd (“YIFS”) 将向您发送确认，并将在选择退出确认日期后的 30 个工作日内停止将您视为合格投资者。

我/我们已收到，阅读并完全理解本通知的内容。

我/我们希望退出并不再被 YIFS 作为合格投资者。

\_\_\_\_\_  
Signature 签名

Name 姓名:

Date: 日期:

\_\_\_\_\_  
Signature 签名

Name 姓名:

Date: 日期:

Note: The original source of application form and agreements are in English language, versions of these in other languages are directly translated from the English version. In event of any disputes, the English version of the Application Form and agreements shall prevail. 申请表和协议的中文版本是从英文版本直接翻译而来，如有任何争议，以英文版本为准。



GLOSSARY

术语表

* AI CRITERIA: INDIVIDUALS (effective 8th October 2018) 个人合格投资者 (自 2018 年 10 月 8 日起生效)	
Previous & Current Criteria 原先和现行标准	Additional Criteria 增加标准
1. Net-worth: Minimum S\$2 Million 净资产不低于200万新币	<b>NEW:</b> Maximum S\$1 Million in primary residence (net of related mortgage debt) <b>新增:</b> 主要居所最高 100 万新元 (扣除相关按揭债务)
2. Net Income: S\$300,000 in preceding year 年收入不低于 30 万新币	
3. <b>NEW:</b> Minimum S\$1 Million in net financial assets (bank deposit, investment products) <b>新增:</b> 至少100万新元的金融资产净值 (银行存款、投资产品)	
4. <b>NEW:</b> Joint Account holder A person who holds a joint account with an AI, in respect of dealings through that joint account. <b>新增:</b> 联名帐户持有人 与一位合格投资者拥有共同帐户的人, 涉及通过该共同帐户进行交易。	
*AI CRITERIA: NON - INDIVIDUALS (effective 8th January 2019) 非个人合格投资者 (自2018年10月8日起生效)	
1. Trustee 委托人	all the beneficiaries or settlors (who have reserved the power to revoke or invest under the trust) are AIs or any trust the subject matter of which exceeds S\$10 Million (or in a foreign currency) in value. 所有受益人或受托人 (保留依信托撤销或投资的权力) 均为合格投资者或任何受托标的价值超过1,000万新元 (或等值外币)。
2. Corporation 法人	net assets exceeding S\$10 Million (or in a foreign currency) in value 净资产超过1000万新元 (或等值外币)
3. Entity 实体	net assets exceeding S\$10 million (or in a foreign currency) in value (法人外实体) 净资产超过1000万新元 (或等值外币)
4. AI Partnership 合格投资者合伙企业	(other than a limited liability partnership) every partner is an AI (有限责任公司除外) 每个合伙人都是合格投资者
5. AI-owned Corporation 合格投资者公司	entire share capital is owned by one or more persons, all of whom are AIs 全部股本由一名或多名合格投资者持有